



Fund Distributor.....

Date ...../...../.....

เลขที่บัญชีกองทุน (สำหรับเจ้าหน้าที่)

0 0 5 3 - - - - - - - - - -

I wish to open a fund account for trading investment units of mutual funds managed by Kasikorn Asset Management Co., Ltd. and apply for the service of trading investment units through electronic channels which are now or will be hereafter available, and I hereby provide information as follows:

**1. Juristic Investor Information - For Account Opening**

Juristic Investor Name : \_\_\_\_\_

Registered Country :  Thailand  Other (Please specify) .....

Commercial Registration No. : .....

Tax ID. : \_\_\_\_\_

Branch No. : .....

**Estimated Revenue per Annum**

- Less than 10 MB  From 10 MB but less than 50 MB
- From 50 MB but less than 400 MB  From 400 MB but less than 800 MB
- From 50 MB but less than 400 MB  From 5,000 MB and higher

**Juristic Type :**

- Juristic (Thailand)
- Tax Exempt on Dividend and Capital Gain  Non-Tax Exempt

- Juristic (Foreign)
- Operating in Thailand  Non-Operating in Thailand

Others

- Partnership (Thailand)
- Government Organization / State Enterprise
- Co-operative / Foundation / Association / Club / Temple / Mosque / Shrine
- Tax Exempt Company
- Other (Please specify) .....

**Business Type :**

- Antiques Trading  Armament
- Casino / Gambling  Co-operative/Foundation/Association/Club/Temple/Mosque/Shrine
- Domestic or International Money Transfer  Entertainment Business
- Financial Service / Banking  Foreign Currency Exchange
- Hotel / Restaurant  Insurance / Assurance
- Jewelry / Gold Trading  Property / Real Estate
- Travel Industry / Travel Agent  University / School / Education Center
- Foreign Worker Employment Agent  Other (Please specify) .....



**2. List of Shareholders holding from 25% of shares**

Individuals who are shareholders of juristic's owner

	Name-Surname of Shareholders	Nationality	ID Card No./Passport No.	Date of Expiry (Only for Passport and Alien Registration Card)
1				
2				
3				
4				

1. Juristic's who are shareholders of juristic's owner

Juristic Name.....

Registration No. ....

Registered Country  Thailand  Other (Please specify) .....

	Name-Surname or Juristic Name	Nationality	ID Card No./Passport No./ Tax ID/Registration No.	Date of Expiry (Only for Passport and Alien Registration Card)
1				
2				
3				
4				

2. Juristic's who are shareholders of juristic's owner

Juristic Name.....

Registration No. ....

Registered Country  Thailand  Other (Please specify) .....

	Name-Surname or Juristic Name	Nationality	ID Card No./Passport No./ Tax ID/Registration No.	Date of Expiry (Only for Passport and Alien Registration Card)
1				
2				
3				
4				

### 3. End Beneficiary Information

Name - Surname : \_\_\_\_\_

Date of Birth (B.E.): \_\_\_\_/\_\_\_\_/\_\_\_\_

ID Type :  National ID Card No : \_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_  
 Passport No .....  
Expire Date (B.E.) \_\_\_\_/\_\_\_\_/\_\_\_\_ Issue country .....  
 Non Thai Identification No.: \_\_\_\_\_  
Expire Date (B.E.) \_\_\_\_/\_\_\_\_/\_\_\_\_

Address as specified in the identification document

Address No. .... Moo No. .... Building/Mooban ..... Room No. .... Floor .....  
Soi ..... Road ..... Sub-District/Tambon .....  
District/Amphur..... Province ..... Postal Code \_\_\_\_ Country .....

Are you a politician or connected to any Political person? :

Yes Position .....  No

### 4. Information for Mutual Fund

Condition of Authorized Signatories for Transaction :

.....  
.....

Authorized person of Juristic Investor for transaction :

Name - Surname : \_\_\_\_\_

Date of Birth (B.E.): \_\_\_\_/\_\_\_\_/\_\_\_\_

ID Type :  National ID Card No : \_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_  
 Passport No .....  
Expire Date (B.E.) \_\_\_\_/\_\_\_\_/\_\_\_\_ Issue country .....  
 Non Thai Identification No.: \_\_\_\_\_

Address as specified in the identification document

Address No. .... Moo No. .... Building/Mooban ..... Room No. .... Floor .....  
Soi ..... Road ..... Sub-District/Tambon .....  
District/Amphur..... Province ..... Postal Code \_\_\_\_ Country .....

Current Address

Same as Address as specified in the identification document  Other (Please specify below)

Address No. .... Moo No. .... Building/Mooban ..... Room No. .... Floor .....  
Soi ..... Road ..... Sub-District/Tambon .....  
District/Amphur..... Province ..... Postal Code \_\_\_\_ Country .....

Are you a politician or connected to any Political person? :

Yes Position .....  No

**5. Account Information – For Mutual Fund**

Objective of Account :  Liquidity Management  Cash management for investment  
 Investment  Other (Please specify).....

Mailing Address :  E-mail Address  Same as Commercial Registration Certificate Address  Other (Please specify)  
 Address No. .... Moo No. .... Building/Mooban ..... Room No. .... Floor .....  
 Soi ..... Road ..... Sub-District/Tambon .....  
 District/Amphur..... Province ..... Postal Code \_ \_ \_ \_ \_ Country .....

I would like to receive the redemption proceeds and / or dividend payment  
 By credit to a deposit account at .....  
 Branch ..... Account No. \_ \_ \_ - \_ - \_ \_ \_ \_ \_ - \_  
 Account Name .....

I hereby certify that the above information including any other information and/or document I have provided to the Management Company for the opening of the fund account is accurate. I will immediately give notice to the Management Company if there is any change. This certification of data accuracy shall include the use of or reference to said information by a third party for any action in connection with the operation under this Application and/or per my intention. I have duly received and read the investor guide and agreed to comply with and be bound by the terms and conditions as well as basic rights of consumers as stated in the reverse side of this Application including those to be later prescribed and announced by the Management Company, in all respects. This transaction is not for money laundering or financing of terrorism and the proliferation of weapons of mass destruction.

Signature of Applicant .....  
 ( )

**For Officer Only For Registrar and Branch Officer/Fund**

- Investor Contacted Person and/or Application Authorized Person 1. Please inform the customer to fill in the application's details.  
 ..... 2. Please submit the documents to the registrars within 7 days  
 No. .... since the application date.  
 3. The customer recommender (if any) .....

## Term and Conditions

1. The Management Company reserves the right to accept and/or reject the Application for the Opening of the Fund Account and/or reject the reservation and/or subscription and/or redemption and/or switching of investment units, either in whole or in part, with no need to provide any reason. This shall be in accordance with the terms and conditions, including rights and duties of the Management Company as stated in the prospectus, including any other terms and conditions determined by the Management Company as well as other announcements.
2. The Management Company aims to offer investment units of funds within Thailand and has no objective of offering investment units to/for the benefit of the United States of America, US citizens or residents or the persons who have residence in the USA including the estate of said persons and companies or partnerships which are established and conduct activities in the USA. The Management Company reserves the right to not offer investment units to the aforementioned persons (in accordance with FATCA law).
3. The Management Company reserves the right to not accept the opening of the fund account of a minor or a person who is less than 20 years old, in accordance with the Civil and Commercial Code, Section 1574 (11) and Section 1598/4 which prohibits the conduct of juristic act related to property of a minor, except by the court's order.
4. If there is no balance left in the fund account which has been inactive for more than one year, the Management Company reserves the right to close the account without giving advance notice to the applicant for the opening of the fund account.
5. The applicant for the opening of the fund account via KASIKORNBANK PCL (KBank) must open a deposit account of KBank for receiving redemption proceeds/dividend. The redemption proceeds/dividend cannot be paid by cheque or to another bank's account.
6. A selling agent acts as investment consultant and accepts an instruction for investment unit subscription and/or redemption and/or switching only. Allocation of investment units per the instruction for investment unit subscription and/or redemption is under the responsibility of the Management Company.
7. The Management Company may send all communications, correspondences and/or notices and/or any other document to the applicant by telephone, facsimile, email or postal mail, either registered or unregistered, or by any other means, or any other channel as the Management Company deems appropriate. If the communication has been made by the Management Company to the contact address and/or the contact information based on the details in this Application, it shall be deemed that the Management Company has duly notified or sent the information to the applicant. In case of change in the contact address and/or contact information, the applicant shall give written notice with attachment of documents as evidence as determined by the registrar and/or the Management Company.
8. If the applicant allows the Management Company to send redemption proceeds/dividend (if any) via registered postal mail or deposit a cheque into another bank account which is not a KBank deposit account, the applicant acknowledges and gives consent to the Management Company to send the cheque via registered postal mail to the investor's address as specified in the registration of unitholders or deposit the cheque into the applicant's account within the date the redemption proceeds/dividend is paid as stated in the Project. In case of force majeure that prevents the Management Company from sending or depositing the cheque into the KBank account within the date specified in the Project, the applicant agrees to not claim damages from the Management Company.
9. If the applicant who receives the redemption proceeds/dividend by funds transfer to a deposit account at KBank that has been assigned a PIN for use with an ATM card or any other card to be available in the future, and if the applicant wishes to use the KBank Delivery or any other electronic banking service for subscribing and/or redeeming and/or switching for investment units through electronic media, the applicant can use such account number/card number and PIN to subscribe or redeem to process the investment unit subscribing and/or redeeming and/or switching for investment units transaction via the KBank Delivery or any other electronic service of KBank.
10. The applicant acknowledges and agrees to be bound by the terms and conditions and any content stated in the prospectus of each fund, terms/criteria/conditions of the opening of the fund account including relevant laws and/or any other announcement including the Securities and Exchange Act/ Notification of the Securities and Exchange Commission/ Capital Market Supervisory Board /the Office of the Securities and Exchange Commission/ Anti-Money Laundering Office/ Association of Investment Management Companies and/or any other organization. The applicant acknowledges and agrees that the Management Company shall collect and use the information of the applicant and/or a third party provided by the applicant to the Management Company or via the Management Company's agent or held by the Management Company or that the Management Company has received or obtained from other sources for the purpose of providing

services to the applicant, undertaking operations per the applicant's request before service provision, assigning work to another person to support the service regarding IT, communication, assignment of rights and/or duties, compliance with laws, risk management, compliance and audit, internal organization management, complaint handling and/or for any other purposes essential for operation of the Management Company or to allow the Management Company to provide continual services with fairness, as well as for the purposes as stipulated in the privacy policy. The applicant acknowledges and agrees that the Management Company may disclose the information of the applicant and/or the third party to external service providers, the Management Company's agents, sub-contractors, co-branding partners, data processors, auditors, external inspectors, assignees of rights or prospective assignees of rights, competent authorities and/or any organizations/juristic persons having entered into a contract with the Management Company; and that the recipient of such information from the Management Company may collect, use and disclose such information further in accordance with said purposes; and that the Management Company may send and/or transfer the data to storage on server/cloud in relevant countries. If the applicant gives and/or sends the third party's information (including the information of a director, an authorized person, agent and/or an employee of the applicant in case where the applicant is a juristic person), the applicant affirms that the third party has been informed of the details about the collection, use and disclosure of the data as stated in the previous paragraph, and the applicant has been given consent from the third party.

The applicant may read additional details and rights in the Privacy Policy on the Management Company's website ([www.kasikornasset.com/th/privacy-policy](http://www.kasikornasset.com/th/privacy-policy)) or request them from the Management's officer or agent who provides the service to the applicant.

The Management Company may revise the privacy policy, and the revised privacy policy will be posted at the Management Company's website. The applicant acknowledges that the Management Company may undertake operations in accordance with the current privacy policy. The Management Company may give notice of the change to the applicant via channels used by the Management Company for contacting the applicant and/or any other channels through which the Management Company deems the applicant can be informed of the change.